



June 2016 Political Survey Methodology Report

Submitted to:
The Pew Research Center
1615 L Street, NW
Suite 700
Washington, DC 20036

Prepared by:
Abt SRBI
Marci Schalk
Raphael Nishimura
Chintan Turakhia
Dean Williams
180 Maiden Lane
Suite 802
New York, NY 10038
(212) 779-7700
www.srbi.com

July 1, 2016

I. SUMMARY

The June 2016 Political Survey, fielded for the Pew Research Center for the People & the Press by Abt SRBI, obtained telephone interviews with a representative sample of 2,000 adults living in the United States (500 respondents were interviewed on a landline telephone and 1,500 were interviewed on a cell phone; 58 respondents were landline-only, 1,017 were dual users and 925 were cell-only) and an oversample of 245 Hispanic adults (59 respondents were interviewed on a landline telephone and 186 were interviewed on a cell phone; 10 respondents were landline-only, 93 were dual users and 142 were cell-only). A total of 543 Hispanic respondents were interviewed. Interviewing was conducted from June 15-26, 2016 in English and Spanish. Samples were drawn from both the landline and cell phone RDD frames. Persons with residential landlines were not screened out of the cell phone sample. Both the landline and cell phone samples were provided by Survey Sampling International. The combined sample is weighted to match demographic parameters from the American Community Survey and telephone status parameters from the National Health Interview Survey. The weighting procedure also accounts for the fact that respondents with both a landline and cell phone had a greater probability of selection. The margin of sampling error for weighted estimates based on the full sample is ± 2.38 percentage points.

II. SAMPLE DESIGN

The target population for the study is non-institutionalized persons age 18 and over, living in the US. Samples were drawn from both the landline and cellular random digit dial (RDD) frames to represent people with access to either a landline or cell phone. Both samples were provided by Survey Sampling International, LLC according to Abt SRBI specifications. In order to interview an additional 245 Hispanic adults and obtain a total of at least 500 Hispanic adults, additional samples from both landline and cellular random digit dial (RDD) frame were drawn. The selection of these oversamples was similar to the other RDD samples, with the exception that respondents were screened to determine if they were of Hispanic, Latino or Spanish origin.

Numbers for the landline sample were drawn with equal probabilities from active blocks (area code + exchange + two-digit block number) that contained one or more residential directory listings. The cellular sample was drawn by Survey Sampling International through a systematic sampling from 1000-blocks dedicated to cellular service according to the Telcordia database.

III. QUESTIONNAIRE DEVELOPMENT AND TESTING

The questionnaire was developed by the Pew Research Center in consultation with Abt SRBI. In order to improve the quality of the data, the questionnaire was pretested with a small number of respondents using landline RDD telephone numbers. The pretest interviews were conducted using experienced interviewers who could best judge the quality of the answers given and the degree to which respondents understood the questions. Some final changes were made to the questionnaire based on the monitored pretest interviews.

IV. CALLING PROTOCOL

Landline numbers were called as many as 7 times, and cell phone numbers were called as many as 7 times. Up to 3 additional call attempts were made for Spanish language callbacks. Refusal conversion was attempted on soft refusal cases. Interviews were conducted from June 15-26, 2016. Calls were staggered over times of day and days of the week to maximize the chance of making contact with potential respondents. Each number received at least one daytime call. The sample was released for interviewing in replicates, which are representative subsamples of the larger sample. Using replicates to control the release of sample ensures that complete call procedures are followed for the entire sample.

For the landline sample, interviewers asked to speak with either the youngest male or youngest female at home right now. For the cell sample, interviews were conducted with the person who answered the phone. For the landline oversample interviews were conducted with either the youngest male or youngest female of Hispanic, Latino or Spanish origin at home right now. For the cell over sample interviews were conducted with the person who answered the phone if they were of Hispanic, Latino or Spanish origin. Interviewers verified that the person was an adult and in a safe place before administering the survey. Cell sample respondents were offered a post-paid cash incentive of \$5 for their participation.

V. WEIGHTING

Two weights were created for this survey. The specification for each weight follows the Pew People-Press Weighting Summary (Christian, Best and Kennedy, January 2016). The design of the full sample weight recommended for analysis is described first. Description of the other weight is provided at the end of this section.

First Stage Weighting

The first stage of weighting corrected for different probabilities of selection associated with the number of adults in the household and the respondent's telephone usage (landline only, cell phone only or has both kinds of phones). This weighting also adjusts for the overlapping landline and cell sample frames and the relative sizes of each frame and each sample.

This first-stage weight, labeled NEWWT1, can be expressed as:

$$WT = \frac{1}{\left(\frac{S_{ll}}{U_{ll}} \times \frac{LL}{AD}\right) + \left(\frac{S_{cp}}{U_{cp}} \times CP\right) - \left(\frac{S_{ll}}{U_{ll}} \times \frac{LL}{AD} \times \frac{S_{cp}}{U_{cp}} \times CP\right)}$$

Where:

LL =1 if respondent has a landline phone
=0 if respondent has no landline phone

(OR number of landlines on which the respondent could have been reached)

CP =1 if respondent has a cell phone
=0 if respondent has no cell phone

(OR number of cell phones on which the respondent could have been reached)

S_{ll}=size of the landline sample drawn across all released replicates (# of landline numbers dialed)

S_{cp}=size of the cell phone sample drawn across all released replicates (# of cell phone numbers dialed)

U_{ll}=size of the landline RDD frame (according to SSI)

U_{cp}=size of the cell RDD frame (according to SSI)

AD=number of adults in the household (1, 2, 3 or more)¹

Second Stage Weighting

The second stage of weighting balances sample demographics to estimated population parameters. The sample is balanced to match national population parameters for sex, age, education, race, Hispanic origin, region (U.S. Census definitions), population density, and telephone usage. The Hispanic origin was broken out based on nativity: U.S born and non-U.S. born. The white, non-Hispanic subgroup is also balanced on age, education and region. The basic weighting parameters came from an analysis of the Census Bureau's 2014 American Community Survey (ACS) one-year estimates. The ACS parameters were calculated for adults aged 18 years and older residing in households, excluding those living in institutionalized group quarters. The population density parameter was derived from Census 2010 data. The telephone usage parameter came from an analysis of the July-December 2015 National Health Interview

¹ For the landline Hispanic oversample, AD = number of Hispanic adults in the household

Survey² and was based on all adults living in households with a phone (either landline or cell phone) in the U.S., including Alaska and Hawaii.

The second stage weighting uses an iterative technique that simultaneously balances the distributions of all weighting parameters. This process was performed separately for each questionnaire form. Weights were trimmed at the 5th and 95th percentiles to prevent individual interviews from having too much influence on the final results. The use of these weights in statistical analysis ensures that the demographic characteristics of the sample closely approximate the demographic characteristics of the national population. In the survey dataset, this full sample weight is labeled *WEIGHT*. Table 1 compares weighted and unweighted sample distributions to population parameters.

Design of CELLWEIGHT

This weight was computed for respondents from the cell sample using the same procedures as above except there is no first stage weighting adjustment because only one sampling frame is used and within-household selection is not conducted during cell phone interviews. Also, a phone use parameter is *not* included in the second stage weighting. This weight was trimmed at the 5th and 95th percentiles.

Table 1. Weighted and Unweighted Estimates Along with Benchmarks

	Benchmark	Weighted By WEIGHT	Unweighted
18-24	12.9%	12.6%	9.7%
25-34	17.6%	17.3%	15.7%
35-44	16.6%	16.3%	14.4%
45-54	17.8%	17.7%	16.6%
55-64	16.5%	17.1%	19.4%
65+	18.6%	18.9%	24.3%
High School Graduate or less	40.7%	39.9%	31.4%
Some College	31.5%	30.8%	26.9%
College Graduate	27.8%	29.3%	41.6%
Northeast	18.0%	17.7%	16.4%
Midwest	21.2%	21.3%	19.4%

² Blumberg SJ, Luke JV. Wireless substitution: Early release of estimates from the National Health Interview Survey, July–December 2015. National Center for Health Statistics. May 2016. Available from: <http://www.cdc.gov/nchs/nhis.htm>.

South	37.3%	37.8%	38.8%
West	23.5%	23.2%	25.4%
White Non-Hispanic	65.1%	65.1%	61.8%
Black Non-Hispanic	11.7%	11.4%	8.7%
Hispanic, Native Born	7.8%	8.3%	12.0%
Hispanic, Foreign Born	7.5%	7.8%	12.2%
Other, Non-Hispanic	7.9%	7.4%	5.3%
1 Lowest Density	19.9%	19.7%	19.7%
2	20.0%	20.1%	20.7%
3	20.1%	20.2%	20.0%
4	20.0%	20.1%	20.6%
5 Highest Density	20.0%	19.9%	19.0%
Landline Only	5.5%	4.5%	3.0%
Dual	43.8%	44.5%	49.4%
Cell Phone Only	50.7%	51.0%	47.5%

VI. DESIGN EFFECT AND MARGIN OF ERROR

Weighting and survey design features that depart from simple random sampling tend to result in an increase in the variance of survey estimates. This increase, known as the design effect or *deff*, should be incorporated into the margin of error, standard errors, and tests of statistical significance. The overall design effect for a survey is commonly approximated as the 1 plus the squared coefficient of variation of the weights. For this survey, the margin of error (half-width of the 95% confidence interval) incorporating the design effect for full-sample estimates at 50% is ± 2.38 percentage points. Estimates based on subgroups will have larger margins of error. It is important to remember that random sampling error is only one possible source of error in a survey estimate. Other sources, such as question wording and reporting inaccuracy, may contribute additional error. A summary of the weights and their associated design effect is reported in Table 2 below.

Table 2. Design Effect and Effective Sample Size

Weight Variable	Number of cases (n)	Minimum weight	Maximum weight	Design effect	Effective n
WEIGHT	2,245	0.3348	2.3672	1.32	1,694
CELLWEIGHT	1,686	0.3421	2.3334	1.31	1,283

VII. DISPOSITIONS

Table 3 reports the disposition of all sampled telephone numbers dialed for the survey. Abt SRBI calculates three component rates: Response rate, Cooperation rate, and Contact rate³:

- Response rate – the number of complete interviews with reporting units divided by the number of eligible reporting units in the sample.
- Cooperation rate – the proportion of all cases interviewed of all eligible units ever contacted.
- Contact rate – measures the proportion of all cases in which some responsible member of a housing unit was reached by the survey

Overall, the response rate (AAPOR RR3) was 9.2% for the RDD landline sample, 7.8% for the RDD cell sample, 6.3% for the landline Hispanic oversample and 6.0% for the cell landline Hispanic oversample.

Table 3. Final Dispositions and Rates, by Sample

		Landline RDD Sample	Cell RDD Sample	Landline Hispanic Oversample	Cell Hispanic Oversample
Interview (Category 1)					
Complete	1.000	500	1,500	59	186
Screen-outs	1.100	0	0	0	0
Partial	1.200	42	128	20	76
Eligible, non-interview (Category 2)					
Refusal and breakoff	2.100	30	80	17	33
Refusal	2.110	2,022	0	597	0
Respondent never available	2.210	11	0	23	0
Telephone answering device (confirming HH)	2.220	0	0		
Answering machine household-no message left	2.221	2,111	0	0	0
Answering machine household-message left	2.222	0	0		

³ Abt SRBI's disposition codes and reporting are consistent with the American Association for Public Opinion Research standards.

Deceased respondent	2.310	0	0		
Physically or mentally unable/incompetent	2.320	93	0	74	0
Language problem	2.330	0	0		
Household-level language problem	2.331	52	0	52	0
Respondent language problem	2.332	0	0		

Unknown eligibility, non-interview (Category 3)

Always busy	3.120	235	1,110	417	399
No answer	3.130	2,784	2,428	5,200	3,476
Call blocking	3.150	12	352	15	295
Technical phone problems	3.160	0	0		
Housing unit, unknown if eligible respondent	3.200	0	0		
No screener completed: No live contact made	3.210	0	13,187	6,859	12,792
No screener completed: Live contact made	3.210	0	7,908	0	3,376
Other: "cell phone" dispo used in error	3.910	0	26	0	6
Other: Cell case physically or mentally unable/incompetent	3.920	0	135	0	53
Other: Cell case language problem	3.930	0	325	0	138

Not eligible (Category 4)

Out of sample - other strata than originally coded	4.100	0	0		
Fax/data line	4.200	530	56	991	60
Non-working/disconnect	4.300	18,985	10,883	34,959	6,365
Non-working number	4.310	0	0		
Disconnected number	4.320	0	0		
Temporarily out of service	4.330	485	1,284	678	2,968
Special technological circumstances	4.400	0	0		
Number changed	4.410	0	0		
Cell phone	4.420	8	0	5	0
Pager	4.440	0	0		
Nonresidence	4.500	0	0		
Business, government office, other organizations	4.510	1,185	997	2,148	491
No eligible respondent (e.g., child phone)	4.700	0	639	1,496	1,704
Other	4.900	21	0	14	0

Total phone numbers used		29,106	41,038	53,624	32,418
Completes (1.0)	I	500	1,500	59	186
Partial Interviews (1.2)	P	42	128	20	76
Eligible Non-Interview: Refusal (2.1)	R	2,052	80	614	33
Eligible Non-Interview: Non-Contact (2.2)	NC	2,122	0	23	0
Eligible Non-Interview: Other (2.3)	O	145	0	126	0
Undetermined If Working and Residential (3.1)	UH	3,031	3,890	5,632	4,170

Working and Residential But Undetermined Eligibility (3.2,3.9)

Live contact was made	UO _C	0	8,368	0	3,567
Live contact not made	UO _{NC}	0	13,213	0	12,798

Not Eligible: Nonworking, Nonresidential, or Ported (4.1-4.5,4.9)	NWC	21,214	13,220	38,795	9,884
---	-----	--------	--------	--------	-------

Screen Out: Working and Residential but Not Eligible (4.7)	SO	0	639	1,496	1,704
--	----	---	-----	-------	-------

TOTAL		29,106	41,038	46,765	32,418
--------------	--	--------	--------	--------	--------

e1 =(I+P+R+NC+O+UO _C +OU _{NC} +SO)/(I+P+R+NC+O+UO _C +OU _{NC} +SO+NWC)	18.6%	64.4%	5.7%	65.0%
--	-------	-------	------	-------

e2 =(I+P+R)/(I+P+R+SO)	100.0%	72.8%	31.7%	14.8%
-------------------------------	--------	-------	-------	-------

AAPOR RR3 =	9.21%	7.80%	6.25%	5.98%
--------------------	-------	-------	-------	-------

$$I / (I+P+R+NC+O+[e1*e2*UH]+[e2*(UO_C +UO_{NC})])$$

AAPOR CON2 = (I+P+R+O+[e2*UO _C]) / (I+P+R+NC+O+[e1*e2*UH]+[e2*(UO _C +UO _{NC})])	50.48%	40.54%	86.82%	26.41%
--	--------	--------	--------	--------

AAPOR COOP1 = I / (I+P+R+O+[e2*UO _C])	18.25%	19.24%	7.20%	22.64%
--	--------	--------	-------	--------

AAPOR REF2 = R / (I+P+R+NC+O+[e1*e2*UH]+[e2*(UO _C +UO _{NC})])	37.82%	0.42%	65.09%	1.06%
---	--------	-------	--------	-------

CONTACT x COOP	9.21%	7.80%	6.25%	5.98%
----------------	-------	-------	-------	-------